



Agenda Item No. (5)(a)

To: Finance-Auditing Committee/Committee of the Whole
Meeting of April 22, 2021

From: Jacob Brown, Analyst, Capital and Grant Programs
Amy Frye, Director, Capital and Grant Programs
Joseph M. Wire, Auditor-Controller
Denis J. Mulligan, General Manager

Subject: **AUTHORIZE ACTIONS RELATED TO GRANT PROGRAMS**
(a) **AUTHORIZE THE FILING OF APPLICATIONS FOR FEDERAL TRANSIT ADMINISTRATION FORMULA PROGRAM AND SURFACE TRANSPORTATION PROGRAM FUNDING FOR TRANSIT CAPITAL PROJECTS, COMMIT THE NECESSARY LOCAL MATCH FOR THE PROJECTS, AND ASSURE COMPLETION OF THE PROJECTS**

Recommendation

The Finance-Auditing Committee recommends that the Board of Directors authorize the General Manager or their designee to submit and execute grant applications, cooperative agreements, and certifications and assurances as required by the Federal Transit Administration (FTA) for federal funding assistance for Sections 5307, 5309, 5337, 5339, and by the Federal Highway Administration (FHWA) for Surface Transportation Program (STP) funding to support transit projects, commit the necessary local match funds for the projects, and assure completion of the projects.

This matter will be presented to the Board of Directors at its April 23, 2021 meeting for appropriate action.

Summary

FTA Urbanized Area Formula Program (Section 5307), Fixed Guideway Modernization Program (Section 5309), State of Good Repair (Section 5337), Bus and Bus Facilities (Section 5339) and FHWA STP funds will be programmed by the Metropolitan Transportation Commission (MTC) to support the implementation of transit projects from the Golden Gate Bridge, Highway and Transportation District's (District) Capital Budget and/or Ten-Year Capital Plan. Staff requests the Board of Director's authorization to submit and execute grant applications and certifications for the projects and the funding amounts as shown in Table 1. The projects are based on the amount of federal funds anticipated to be programmed by MTC through MTC's Transit Capital Priorities policy. The projects also include the estimated total cost and the required local match to implement the projects. For reference, the last time this item came before the Finance Committee was in

December 2016 and the District planned for approximately \$8.4 million in federal capital funds. MTC typically programs the TCP in four-five year cycles; however, due to the uncertainties surrounding post-COVID transit recovery, the FFY2021 program includes funding for one year only. A new call for projects for FFY2022 and forward will be held next year.

Table 1: FFY21 FTA Funding Program

Project Title	FTA Funds Requested (\$)	District Funds Local Match (\$)	Total Project (\$)
Rehabilitation of Ferry Vessels Major Components	4,947,332	1,236,833	6,184,165
Ferry Channel and Berth Dredging	640,000	160,000	800,000
Transit System Enhancements	544,000	136,000	680,000
Environmental & Design San Francisco & Larkspur Gangway & Piers	40,000	10,000	50,000
TOTAL	6,171,332	1,542,833	7,714,165

The FTA Section 5307 funds are authorized to support public transit activities. Section 5309 funds are authorized to support major transit capital investments. Section 5337 funds are authorized for existing rail and ferry systems. Section 5339 funds are authorized for the replacement, rehabilitation, and purchase of buses and related equipment and to construct bus related facilities. FHWA STP funds are authorized to support various transportation activities.

In the San Francisco Bay Area Region, MTC is responsible for programming these federal funds. In partnership with County Congestion Management Agencies and local transit agencies, MTC has developed a multi-modal approach to programming these funds to high-priority transit, bicycle, pedestrian, and roadway projects. After MTC programs the funds, individual project sponsors secure these funds through grant applications and grant funding agreements with FTA.

Fiscal Impact

This item provides up to \$6,171,332 in federal capital funds for Federal Fiscal Year 2021 for transit-related projects. The District local match for these projects is \$1,542,833. These transit projects are included in the District’s FY2020-21 Capital Budget and/or the 10-Year Capital Plan.